
Fan Chen, Ph.D.

Assistant Professor of Finance

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School of Business Administration
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Professional Interests

Research Interests

Mutual Funds and Hedge Funds

Teaching Interests

Corporate Finance and Investments

Academic Background

Ph.D. Louisiana State University, Baton Rouge, LA, Finance, 2008

M.S. Boston College, Chestnut Hill, MA, Finance, 2003

M.B.A. Thunderbird, Glendale, AZ, Finance, 2001

Dissertation

Dissertation

Essay 1: Public versus private mutual fund companies. Essay 2: Asset sales in mutual fund industry.

Work Experience

Academic Experience

Assistant professor, University of Mississippi (May, 2008 - Now).

Instructor of Finance Department, Louisiana State University (June, 2004 - May, 2008).

Non-Academic Experience

National

Research Analyst, Taiwan Futures Exchange (1997 - 2000).

Credit Analyst, International Bills Finance Corp (1996 - 1997).

Paid Service

2011: Textbook reviewer, Textbook reviewer for An Introduction to Derivatives and Risk Management, 8th edition

2009: Textbook reviewer, Review for an artificial intelligence system that employs algorithms in Ross, Westerfield, and Jaffe, 9th edition.

2009: Textbook review, Textbook reviewer for Fundamentals of Corporate Finance (Brealey, Myers, and Marcus)

2008: Textbook review, Investments, (Bodie, Kane, and Marcus)

2007: Exxon Mobil, Consultant for an external project

Teaching

Courses Taught

Business Finance I, Derivatives, Financial Institutions, Futures, Options, and Swaps, Intermediate Financial Management, International Finance, Investments

Teaching Honors and Awards

Award

2007: Outstanding Teacher Award, Student Finance Association, Louisiana State University.

2006: College Teaching Award, College of Business, Louisiana State University.

Research/Intellectual Contributions:

Refereed Articles

Chen, F. & Chen, L. (2009). Does concurrent management of mutual and hedge funds create conflicts of interest? *Journal of Banking and Finance*, 33 (8), 1423-1433.

Papers Under Review

Chen, F. & Wang, H. (2010). "On the Timing of Hedge Fund Companies' IPOs," Revised and resubmitted to *The Journal of Alternative Investments*.

Chen, F., Sanger, G., & Slovin, M. (2010). "Asset Sales in the Mutual Fund Industry: Who Gains?," Revised and resubmitted to *Journal Of Finance*.

Chen, F., Chen, L., & Cyree, K. (2010). "Should I Stay or Should I Go? Mutual Fund Managers Who Stay (or Leave) to Run Hedge Funds," Initial submission to *Journal Of Financial Intermediation*.

Working Papers

Chen, F., Chen, L., & Johnson, H. (2011). "Tailor-made versus Mass Production - Portfolio managers concurrently manage separately managed accounts and open-end mutual funds".

Chen, F., Sanger, G., & Slovin, M. (2011). "Does ownership structure matter? Public versus private mutual fund companies".

Chen, F. & Chen, L. (2009). "Governance and Contract Arrangements of Mutual Fund Families".

Chen, F. (2008). "Do Mutual Fund Adoptions Create Value?".

Chen, F., Chen, L., & Lin, J. (2008). "Can Effective Monitoring Mechanism Resolve Conflicts of Interest? Evidence from Tournament Resolution in Mutual Fund".

Presentation of Refereed Papers

International

Chen, F., Sanger, G., & Slovin, M. (2011). Asset sale in the mutual fund industry: Who gains? *Financial Management Association Annual Meeting*, Denver, Colorado.

Chen, F., Chen, L., & Johnson, H. (2011). Tailor-made versus Mass Production - Portfolio managers concurrently manage separately managed accounts and open-end mutual funds. *Financial Management Association Annual Meeting*, Denver, Colorado.

Chen, F., Chen, L., & Cyree, K. (2009). Should I stay or should I go? Mutual fund managers who stay or leave to run hedge funds. *Financial Management Association Annual Meeting*, Reno, Nevada.

Chen, F. (2008). Governance and contract arrangement of mutual fund family. *Financial Management Association Annual Meeting*, Dallas, Texas.

Chen, F. & Chen, L. (2008). Does incentive mechanism work in the mutual fund industry. *Financial Management Association Annual Meeting*, Dallas, Texas.

Regional

Chen, F., Sanger, G., & Slovin, M. (2010). Asset sales in the mutual fund industry: Who gains? *Southern Finance Association Meeting*, Asheville, North Carolina.

Chen, F., Chen, L., & Cyree, K. (2009). Should I stay or should I go? Mutual fund managers who stay or leave to run hedge funds. *Southern Finance Association Meeting*, Captiva Island, Florida.

Research Grants

Funded

2009: Chen, F., Summer Research Grant, University of Mississippi.

2009: Chen, F., Research Grant, University of Mississippi.

2008: Chen, F., Summer Research Grant, University of Mississippi.

Research Honors and Awards

Honor

- 2010: Research Paper Award, School of Business Administration, University of Mississippi.
2010: Outstanding Paper Award, Empirical Finance, Southern Finance Association 2010 Annual Meeting.
2007: Best Paper Award, International Financial Planning Conference.
2007: Doctoral Student Consortium, The Financial Management Association.
2005: Best Paper Award, SFM Conference Annual Meeting.

Service:

Service to the University

Department Assignments

Member:

2010-2011: Dissertation Committee (Wang Hao)

College Assignments

Member:

2009-2010: Development of School of Business Administration Mission Statement

2008-2009: Asst/Assoc Professor of Finance Search

2008-2009: Asst/Assoc Professor of Management Search II

2008-2009: Asst/Assoc Professor of Management Search I

University Assignments

Chair:

2010-2011: Chair for Honors College Thesis (Igor Shkilko)

Member:

2009-2010: Honors College Thesis: Committee Member (Kristen Elizabeth Dugar)

Service to the Profession

Academic Conference: Discussant

2010: Financial Management Association Annual Meeting, 2 papers, New York City, New York (International).

2009 – 2010: Southern Finance Association Meeting, Asheville, North Carolina (Regional).

2009: Financial Management Association, Reno, Nevada (National).

2007: Financial Management Association European Conference, Barcelona, Spain (International).

2007: Financial Management Association, Orlando, Florida (National).

2006: Academy of Economics and Finance, Houston, Texas (Regional).

2005 – 2006: Eastern Finance Association, Philadelphia, Pennsylvania (Regional).

2005: Southwestern Finance Association, Dallas, Texas (Regional).

Chair: Committee / Task Force

2009: Southern Finance Association (Regional).

2009: Financial Management Association, Program committee (National).

2005: Southern Finance Association, Dallas, Texas (Regional).

2005: Eastern Finance Association, Norfolk, Virginia (Regional).

Chair: Conference / Track / Program

2007: Financial Management Association European Conference, Barcelona, Spain (International).

Invited Lecture

2009: University of Memphis, Memphis, Tennessee (National).

Member: Committee/Task Force

2011: Southern Finance Association Meeting, Key West, Florida (Regional).

- 2011: Southern Finance Association, Nominating committee (Regional).
- 2011: Financial Management Association Annual Meeting, Denver, Colorado (International).
- 2010: Southern Finance Association, Asheville, North Carolina (Regional).
- 2010: Financial Management Association Annual Meeting, Program Committee, New York City, New York (National).
- 2007: Financial Management Association, Program Committee, Salt Lake City, Utah (National).
- 2006 – 2007: Eastern Finance Association, Program Committee, Philadelphia, Pennsylvania (Regional).

Presentation

- 2011: Joint doctoral seminar at Desoto (Ole Miss and University of Memphis), Desoto, Mississippi (Regional).
- 2010: Research seminar at University of Memphis, Memphis, Tennessee (International).
- 2010: Southern Finance Association, Asheville, North Carolina (Regional).
- 2009: Southern Finance Association Meeting, Captiva Island, Florida (Regional).
- 2009: Financial Management Association, Reno, Nevada (National).
- 2008: Financial Management Association, 2 papers, Dallas, Texas (National).
- 2007: Eastern Finance Association, New Orleans, Louisiana (Regional).
- 2007: Financial Management Association European Conference, Barcelona, Spain (International).
- 2007: Financial Management Association, Orlando, Florida (National).
- 2006: Academy of Economics and Finance, Houston, Texas (National).
- 2006: Eastern Finance Association, 2 papers, Philadelphia, Pennsylvania (Regional).
- 2006: Financial Management Association, 2 papers, Salt Lake City, Utah (National).
- 2005 – 2006: Southwestern Finance Association, Oklahoma City, Oklahoma (Regional).
- 2005 – 2006: Southern Finance Association, Destin, Florida (Regional).
- 2005 – 2006: International Conference in Finance, Taipei, Taiwan (International).
- 2005: Securities and Financial Markets, Co-Author, Kaohsiung, Taiwan (International).
- 2005: Eastern Finance Association, Norfolk, Virginia (Regional).

Reviewer - Article / Manuscript

- 2007: Quarterly Review of Economics and Finance Quarterly Review of Economics and Finance, 3 manuscripts (International).

Reviewer: Ad Hoc Reviewer for a Journal

- 2010: Financial Review, 3 manuscripts (International).
- 2009: Financial Review (International).
- 2009: Journal of Banking and Finance (International).

Reviewer: Conference Paper

- 2011: Southern Finance Association Meeting, Key West, Florida (Regional).
- 2011: Financial Management Association Annual Meeting, Denver, Colorado (International).
- 2009: Financial Management Association Meeting (National).
- 2009: Eastern Finance Association (National).

Other

Other Honors and Awards

- 2007: Graduate Student Travel Awards (2004-2007), Louisiana State University.

Other Activities

- 2011 - Professional Media Appearances : Hedge fund that imposes lockup provision, Interviewed by Ted Carter, Mississippi Business Journal, 1/20/2011.
- 2009 - Professional Media Appearances : Does concurrent management of mutual and hedge funds create conflicts of interest? Interviewed by Christopher Faille of the Hedge Fund Law Report
- 2007 - Professional Media Appearances : Public versus Private Mutual Fund Companies, Interviewed by Peter Beller, Forbes Magazine, 8/15/2007
- 2004 - Professional Media Appearances : Mutual Fund Adoptions, Interviewed by John Keefe, Institutional Investor Magazine

References

Myron B. Slovin

Gary C. Sanger

Ji-Chai Lin

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