
Lynn Phillipps Kugele

Clinical Assistant Professor of Finance
School of Business Administration

Academic Background

Ph.D. University of Memphis, Memphis, TN, USA, Business Administration - Finance, 1998
M.B.A. Christian Brothers University, Memphis, TN, Finance, 1993
M.S. Memphis State University, Memphis, TN, Mathematics, 1974
B.S. Memphis State University, Memphis, TN, Mathematics, 1968

Dissertation

Threshold Effects in Intraday Trading Volume - Research supported in part by a \$15,000 Nasdaq Dissertation Fellowship from The Nasdaq Stock Market Educational Foundation, Inc.

Work Experience

Academic Experience

Associate Professor - Department of Accounting, Finance and Business Law, Christian Brothers University (2002 - 2008).
School of Business Dean, Christian Brothers University (2004 - 2006).
Acting Dean, School of Business, Christian Brothers University (August, 2004 - September, 2004).
Department Chair - Department of Accounting, Finance and Business Law, Christian Brothers University (2000 - 2004).
Chair, Department of Economics, Finance and General Business, Christian Brothers University (2000 - 2002).
Assistant Professor - Department of Accounting, Finance and Business Law, Christian Brothers University (1998 - 2002).
Interim Chair, Department of Accounting, Christian Brothers University (August, 2001 - December, 2001).
Adjunct Professor - Department of Accounting, Finance and Business Law, Christian Brothers University (1997 - 1998).

Non-Academic Experience

Free Lance Writer, Memphis Magazine (2000 - 2003). Home Expo supplement.
Free Lance Writer, Memphis Magazine (August, 2000 - August, 2000). Quantum House brochure.
Free Lance Writer, Memphis Magazine (1994 - 2000). Home Furnishings supplement.
Free Lance Writer, Memphis Magazine (1994 - 1998). Mid-South Bride.
Free Lance Writer, Memphis Magazine Flyer (1997 - 1997). Vesta Home Show supplement.
Free Lance Writer, Memphis Magazine (1995 - 1995). 'Women You Need To Know'.
Free Lance Writer, Memphis Magazine (1994 - 1995). Home Expo Supplement.
Free Lance Writer, Memphis Magazine (1994 - 1994). 'The Killing Fields' - Violent Crime in the City Schools (cover story w/ Michael Finger).
Free Lance Writer, Memphis Magazine (1994 - 1994). Diet and Health supplement.

Consulting

2004: Dakota Worldwide Corporation, Consultant

Paid Service

2001-2008: University of Memphis, Board of Directors - ISSM - The Institute for the Study of Security Markets
1995-1998: University of Memphis, Treasurer & Project Director - ISSM - The Institute for the Study of Security Markets
1994-1995: University of Memphis, Project Director - ISSM - The Institute for the Study of Security Markets
1991-1994: Malone & Hyde, Inc., Director - Retail Electronic Services
Responsible for revenue and net income generation for Retail Electronics Services in the seven divisions of Fleming's Mid South Region.
1988-1991: Malone & Hyde, Inc., Director - M.I.S. Operations and Technical Support
Responsible for the coordination, administration and oversight of all areas of Operations, Technical Support and Microcomputer Systems.
Development services provided to Malone & Hyde data processing users at both the division and regional levels

1987-1988: Malone & Hyde, Inc., Director M.I.S. Strategic Planning
1985-1988: Malone & Hyde, Inc., Assistant Director - M.I.S. Strategic Planning
1985: Malone & Hyde, Inc., Marketing Manager
1985: Malone & Hyde, Inc., Manager Information Center
1983: Gordons Transports, Inc., Director - Marketing Research & Development
1982: Gordons Transports, Inc., Manager - Marketing Research & development
1980: Gordons Transports, Inc., Manager - Marketing Analysis
1979: Gordons Transports, Inc., Senior Traffic Analyst
1968-1977: Gordons Transports, Programmer Analyst

Teaching

Courses Taught

Business Finance I
Investments
Personal Finance

Research/Intellectual Contributions:

Refereed Articles

Kugele, L. P. & Wood, R. (2006). 'Tape Shredding: SRO Sponsored Payment-for-Order-Flow'. Journal of Trading.
Kugele, L. P. & Ascioğlu, A. (2005). Using Trading Simulations to Teach Market Microstructure. Journal of Financial Education, 31, 61-68.
Kugele, L. P. (2004). The Relationship between Part-Time Instructors and Final Grades in the Principles of Business Statistics. Journal of the Academy of Educational Leadership.
Kugele, L. P., McInish, T., Van Ness, R., & Van Ness, B. (2000). Competition from the limit order book and NYSE spreads. Journal of International Financial Markets, Institutions and Money, 10, 31-42.

Papers Under Review

Kugele, L. P., Aydogdu, M., Ascioğlu, A., & Chou, R. (2006). "An Analysis of Intraday Patterns in ETF and Comon Stock Spreads,," initial submission to Applied Financial Economics.
Kugele, L. P., Jain, P. K. , Jiang, C., & Wood, R. (2004). "Irrational Exuberance in Trading costs during Stock Market Bubble and Crash," initial submission to.

Refereed Proceedings

Full Paper

Kugele, L. P. (in press, 2006). Second Acts: The Next Career Step for Buyside CTOs. Institutional Investor.
Kugele, L. P. (in press, 2006). The Labor Market: Compensation and Recruiting. Institutional Investor.

Abstract Only

Kugele, L. P. (in press, 2007). OTC Derivatives: What to Expect from DTCC's Trade Warehouse. Institutional Investor.
Kugele, L. P. (in press, 2007). Preparing for the SarBox Audit. Institutional Investor.
Kugele, L. P. (in press, 2007). Valuing OTC Derivatives and Structured Securities. Institutional Investor.
Kugele, L. P. (in press, 2007). Market Data Vendors and Consumers: Clearing Up the Fog of War. Institutional Investor.
Kugele, L. P. (in press, 2007). The New Congress and the Outlook for the Financial Services Industry. Institutional Investor.
Kugele, L. P. (in press, 2006). Cutting Time to Market with Agile Development: Case Studies in the Asset Management Industry. Institutional Investor.
Kugele, L. P. (in press, 2006). What Do Users Want? ..and Why Won't They Tell You? Institutional Investor.
Kugele, L. P. (in press, 2006). Beyond Pre-trade Compliance: Using Business Rules to Build Systems. Institutional Investor.
Kugele, L. P. (in press, 2006). Loan Trading: Automating Chaos. Institutional Investor.
Kugele, L. P. (in press, 2006). Sports Betting, Data Mining and Chance. Institutional Investor.
Kugele, L. P. (in press, 2006). Service-Oriented Architecture Case Studies at Investment Management Firms. Institutional Investor.
Kugele, L. P. (in press, 2006). Pricing and Managing OTC Interest Rate Derivatives. Institutional Investor.
Kugele, L. P. (in press, 2006). College Recruiting of Financial Technologists. Institutional Investor.
Kugele, L. P. (in press, 2006). China: The Opportunity for Asset Managers. Institutional Investor.
Kugele, L. P. (in press, 2006). Social Networking and Web 2.0 in Financial Services. Institutional Investor.
Kugele, L. P. (in press, 2006). Post-trade Processing for OTC Derivatives. Institutional Investor.

Kugele, L. P. (in press, 2006). Distribution: The Next Focus for Automation. Institutional Investor.

Kugele, L. P. (in press, 2006). IT Governance Frameworks: Supporting Compliance. Institutional Investor.

Kugele, L. P. (in press, 2006). Business-driven Information Visualization. Institutional Investor.

Kugele, L. P. (in press, 2005). Credit Default Swaps. Institutional Investor.

Kugele, L. P. (in press, 2005). CIO Perspective: Data Management. Institutional Investor.

Kugele, L. P. (in press, 2005). Next Generation of IT. Institutional Investor.

Kugele, L. P. (in press, 2005). CIO Perspective: Project Management. Institutional Investor.

Kugele, L. P. (in press, 2005). Fixed Income Forecast. Institutional Investor.

Kugele, L. P. (in press, 2005). State of Compliance. Institutional Investor.

Kugele, L. P. (in press, 2005). The Great Big Regulatory Restructuring. Institutional Investor.

Kugele, L. P. & Asciglu, A. (2004). Using Trading Simulations to Teach Market Microstructure. ABE/FEA online proceeding.

Kugele, L. P. (in press, 2004). Broker-Dealer Profitability: An Oxymoron? Institutional Investor.

Kugele, L. P. (in press, 2004). To Bundle or Not to Bundle. Institutional Investor.

Kugele, L. P. (in press, 2004). Gazing into the Crystall Ball at the Mutual Fund Industry - What Happens If..? Institutional Investor.

Kugele, L. P. (in press, 2001). NYSE Rules and Decimalization: A Penny Saved ... Institutional Investor.

Kugele, L. P. (in press, 2001). Techniques of an ECN Trader. Institutional Investor.

Kugele, L. P. (in press, 2001). International Markets: What the U.S. Markets can learn from abroad. Institutional Investor.

Kugele, L. P. (in press, 2001). Smart Order Routing: Smart Routing to Liquidity. Institutional Investor.

Kugele, L. P. (in press, 2001). Best Execution Revisited. Institutional Investor.

Kugele, L. P. (in press, 2001). Decimalization and Other NYSE Initiatives. Institutional Investor.

Kugele, L. P. (in press, 2000). Smart Routing: The Answer to Fragmentation? Institutional Investor.

Kugele, L. P. (in press, 2000). Nasdaq Super Montage Debate. Institutional Investor.

Kugele, L. P. (in press, 2000). A Buyside View on Equity Markets and Regulation. Institutional Investor.

Kugele, L. P. (in press, 2000). Nasdaq's Global Gambit. Institutional Investor.

Kugele, L. P. (in press, 2000). Competition or Fragmentation? The Effects of Rescinding Rule 390 and Internalization. Institutional Investor.

Kugele, L. P. & Wood, R. (in press, 1999). ECNs: The Evolving Landscape of U.S. Financial Markets. Institutional Investor.

Working Papers

Kugele, L. P. (2004). "Predictors of Success and Failure in an MBA Program: Is the GMAT Best".

Kugele, L. P., McInish, T., & Wood, R. (2003). "Threshold Effects in Intraday Trading Volume".

Kugele, L. P., Asciglu, A., & Aydogdu, A. (2003). "Multi-Market ETF Trading: Competition or Fragmentation?".

Kugele, L. P., Van Ness, R., & Van Ness, B. (1999). "Share Volume or Number of Trades: Where is News Reflected First?".

Presentation of Refereed Papers

International

Kugele, L. P., Aydogdu, M., Asciglu, A., & Chou, R. (2006, July). 'An Analysis of Intraday Patterns in ETF and Common Stock Spreads'. Presented at Financial Management Association European Conference, Asia, Unknown.

National

Kugele, L. P. & Asciglu, A. (2004, October). Using Trading Simulations to Teach Market Microstructure. Presented at Financial Management Association Meeting, Unknown, Unknown.

Kugele, L. P. (2004, June). The Impact of Regulation on Asset Management Firms. Presented at Institutional Investor, Charleston, South Carolina.

Kugele, L. P. & Asciglu, A. (2004, April). Using Trading Simulations to Teach Market Microstructure. Presented at Academy of Business Education/Financial Education Association meeting, Unknown, Unknown.

Kugele, L. P., Kamery, R., & Williams, R. (2003, April). The Relationship between Part-Time Instructors and Final Grades in the Principles of Business Statistics Course. Presented at Allied Academics Conference, Unknown, Unknown.

Kugele, L. P. (2000, May). A Plan for Achieving a True National Market System: A Synopsis of the 'MGM' White Paper. Presented at TraderForum, Unknown, Unknown.

Kugele, L. P., Van Ness, R., & Van Ness, B. (1999, October). Share Volume or Number of Trades: Where is News Reflected First? Presented at Financial Management Association, Unknown, Unknown.

Kugele, L. P., McInish, T., & Wood, R. (1998). Threshold Effects in Intraday Trading Volume. Presented at American Finance Association, Unknown, Unknown.

Regional

Kugele, L. P. (2001, June). Financial Decision-Making for the Small Business Owner. Presented at The Financial Review, Memphis, Tennessee.

Kugele, L. P. (1999, January). Financial Decision-Making for the Small Business Owner. Presented at Mid-South Minority Business Council Executive Education Institute, Memphis, Tennessee.

Local

Kugele, L. P. (2004, November). Employee Incentive Stock Options. Presented at Financial Planning Association, Memphis, Tennessee.

Research Grants

Funded-External

1999 - Kugele, L. P., "Shaping International Business Education for the Future", 'Global Capital Markets, GOV-Department of Education (DE).

Other Research Activities

Citation of Work in Other Publications

2003 - Traders Magazine - 'Searching for Best Execution: What is the Right Blend of People and Technology.'

Service:

Service to the University

Department assignments:

Member:

2007-2008: Christian Brothers University: School of Business Dean's Search Committee

2004-2005: Christian Brothers University: School of Business Founders' Dinner Committee

2001-2002 through 2003-2004: Christian Brothers University: School of Business Curriculum Committee

2001-2002 through 2003-2004: Christian Brothers University: School of Business Strategic Planning Committee

University assignments:

Chair:

2003-2004: Christian Brothers University: VPAA Search Committee

Member:

2007-2008: Christian Brothers University: University Sabbatical Committee

2004-2005 through 2007-2008: Christian Brothers University: Board of Trustees Technology Committee

2002-2003 through 2003-2004: Christian Brothers University: On-line Study Committee

2000-2001: Christian Brothers University: University Math Committee

1999-2000: Christian Brothers University: School of Business Dean's Search Committee

1998-1999 through 1999-2000: Christian Brothers University: AACSB Faculty Committee

1998-1999 through 1999-2000: Christian Brothers University: SACS Faculty Committee

Other Institutional Service Activities:

2006-2007: Christian Brothers University: Holder of the Harry B. Solmson Faculty Chair of Excellence

Program Representative:

2001-2002: Christian Brothers University: President - Faculty Assembly
Representative to Board of Trustees Education Committee

2000-2001: Christian Brothers University: Vice-President Faculty Assembly
Representative to University Calendar Committee

1999-2000: Christian Brothers University: Secretary - Faculty Assembly
Represented Faculty as speaker at inauguration of Brother Stan Sobczyk as President of CBU

Service to the Profession

Other Professional Service Activities

2001-2002: Who's Who, Reviewer for Candidates (National).

Reviewer - Book / Textbook

2005-2006: Prentice-Hall (National).

1998-1999 through 1999-2000: South-Western Publishing (National).

Reviewer: Ad Hoc Reviewer for a Journal

1999-2000: International Review of Economics and Finance (National).

Other

Memberships

Academy of Business Education

American Finance Association

Eastern Finance Association

Financial Management Association
Midwest Finance Association
Southern Finance Association
Southwestern Finance Association

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